

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS**

ASSOCIATION OF AMERICAN UNIVERSITIES, )  
*et al.*, )  
 )  
 Plaintiffs, )  
 )  
 v. )  
 )  
 DEPARTMENT OF HEALTH AND HUMAN )  
 SERVICES, *et al.*, )  
 )  
 Defendants. )  
 )

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Case No. 1:25-cv-10346

**DEFENDANTS’ MOTION FOR LEAVE TO CONDUCT LIMITED DISCOVERY  
ON AAU’S ELIGIBILITY TO RECOVER FEES UNDER THE EQUAL ACCESS TO  
JUSTICE ACT**

**I. INTRODUCTION AND RELIEF REQUESTED**

The Department of Health and Human Services (“HHS”) respectfully requests leave of this Court to conduct limited discovery into the Equal Access to Justice Act (“EAJA”) fee recovery requested by the Association of American Universities (“AAU”).

In seeking attorneys’ fees under the Equal Access to Justice Act, Doc. No. 108, AAU has not submitted sufficient evidence to prove that it is the real party in interest that had financial control of the litigation, as opposed to one or more ineligible entities. AAU submitted a declaration that, in a single sentence, avers that AAU was “responsible” for the fees. Doc. No. 108-1 at 2 ¶ 3. But comparing public tax records to the fees for which AAU has claimed “responsibility” suggest that AAU may not have solely funded the litigation from its general operating funds. AAU Form 990, Doc. No. 112-1 at 12. The invoices submitted with AAU’s fee request call into question AAU’s assertion that it is the real party in interest because the invoices reference strategy discussions with “clients” beyond AAU, including “plaintiff universities” and

the leadership of a different plaintiff association. *E.g.*, Doc. No. 108-3 at 2, 10. HHS seeks to determine whether the fees are eligible for recovery under EAJA through limited discovery targeted to determine whether AAU, or ineligible entities, financed the litigation.

## II. ARGUMENT

“EAJA is designed to ‘encourage relatively *impecunious* private parties to challenge abusive or unreasonable governmental behavior by relieving such parties of the fear of incurring large litigation expenses.’” *Sierra Club v. Sec. of Army*, 820 F.2d 513, 516–17 (1st Cir. 1987) (citing *United States v. 1,378.65 Acres of Land*, 794 F.2d 1313, 1315–16 (8th Cir. 1986)) (emphasis added). Although 501(c)(3) membership organizations can be eligible to recover EAJA fees, if there is an “agreement by any of [the association’s] members to pay the costs of . . . litigation,” then the association may not recover fees based on its own eligibility under EAJA. *See National Ass’n of Mfrs. v. Dep’t of Labor*, 159 F.3d 597, 603 (D.C. Cir. 1998). In that situation, the party whose eligibility is considered for purposes of EAJA fees is the party that ultimately pays the costs of the litigation. *See id.* (discussing the “real party in interest doctrine” for purposes of EAJA eligibility). This “real party in interest doctrine” “may properly extend to an ineligible non-party (such as an association member) who pays the fees of a party (such as an association).” *Id.*

Where, as here, the government challenges EAJA eligibility, “the court generally requires the plaintiff to provide supporting materials.” *Norager v. United States*, No. 22-135, 2024 WL 3717448, at \*3 (Fed. Cl. Aug. 8, 2024). AAU is one plaintiff among many, including other associations and well-funded likely ineligible research institutions. *See Compl.*, Doc. No. 1. And AAU purports to be suing because its well-funded member institutions have a direct financial interest in the litigation. *See id.* at 6 ¶ 12. AAU has submitted a declaration stating only “AAU

was responsible for all Plaintiffs’ attorneys’ fees and costs for this litigation.” Snyder Dec., Doc. 78-1 ¶ 3. That falls far short of the declaration considered in *National Association of Manufacturers v. Department of Labor*, that swore “[t]here was no agreement by any of [the Association’s] members to pay the costs of [the] litigation,” and that fees “would have been paid out of the association’s general operating budget.” *National Ass’n of Mfrs. v. Dep’t of Labor*, 159 F.3d 597, 603 (D.C. Cir. 1998). Because the materials AAU has provided to date do not prove the litigation was funded by an eligible entity, discovery into eligibility is warranted. *See id.* (noting that while litigating an EAJA motion, the government could seek discovery “calculated to unearth financing or control of the litigation by individual members of the association”).

Discovery is also warranted here given the difference between AAU’s reported cash on hand at the end of September, 2024 and the payments for which it claims responsibility. According to the most recent publicly available tax data, AAU had \$2,570,599 in cash on hand at the end of 2024. AAU Form 990, Doc. No. 112-1 at 12. However, AAU also indicates that it paid its attorneys more than twice that amount – at least \$6,331,043.78 – across the four indirect cost cases in the past year and for which AAU has sought fees under EAJA.<sup>1</sup> Additional

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<sup>1</sup> *AAU v. DOE*, 1:25-cv-10912 (D. Mass. Apr. 15, 2026) Doc. No. 78-4 (listing Jenner & Block’s total bill at \$674,808.50); Doc. No. 78-5 at 2–13 (cumulatively listing Clement and Murphy’s total bill as at least \$424,450); Doc. No. 90-3 at 16, *AAU v. NSF*, No. 1:25-cv-11231 (D. Mass. June 20, 2025) (listing Jenner & Block’s total bill at \$1,251,201.78); Doc. No. 90-5 at 1–5, *AAU v. NSF*, No. 1:25-cv-11231 (D. Mass. June 20, 2025) (cumulatively listing Clement and Murphy’s total bill as at least \$67,612.5); Doc. No. 98-4 at 28, *AAU v. DOD*, 1:25-cv-11740 (D. Mass. Oct. 10, 2025) (listing Jenner & Block’s total bill at 1,921,415.75); Doc. No. 98-5 at 2–11, *AAU v. DOD*, 1:25-cv-11740 (D. Mass. Oct. 10, 2025) (cumulatively listing Clement and Murphy’s total bill as at least \$131,486); Doc. No. 108-3 at 12, *AAU v. HHS*, No. 1:25-cv-10346 (D. Mass. Mar. 5, 2025) (listing Jenner & Block’s total bill at \$1,147,925.50); Doc. No. 108-5 at 2–11, *AAU v. HHS*, No. 1:25-cv-10346 (D. Mass. Mar. 5, 2025) (cumulatively listing Clement and Murphy’s total bill as at least \$712,143.75).

information is needed to determine whether and how AAU paid fees greatly exceeding its cash on hand.

The invoices submitted to support EAJA fees in this case (which reveal more detail than the invoices submitted with its previous fee petitions), also appear to demonstrate the direct involvement of clients beyond AAU in strategy decisions, suggesting other entities likely had some degree of control over the litigation. For example, one entry refers to a strategy discussion with “AAU and *plaintiff universities* re DOJ overture and implications.” Doc. No. 108-3. Another entry explicitly refers to a “[c]onference with . . . APLU leadership team re legal strategy and declaration.” *Id.* at 2. Even without more information, the direct involvement in strategy decisions by ineligible plaintiffs is a reason to deny or reduce fees. *See Louisiana. ex rel. Guste v. Lee*, 853 F.2d 1219, 1225 (5th Cir. 1988) (recognizing when “parties eligible for EAJA fees join parties ineligible for EAJA fees, the district court must account for the free-rider problems that will inevitably exist”); *Sierra Club v. U.S. Army Corps of Engineers*, 776 F.2d 383, 393 (2d Cir. 1985) (directing the district court to “determine the number of eligible plaintiffs and award fees based on the ratio of eligible plaintiffs to total plaintiffs”). Discovery is needed to more precisely determine how and to what extent ineligible entities controlled the litigation. *See National Ass’n of Mfrs.*, 159 F.3d at 603.

HHS is nonetheless mindful of the Supreme Court’s admonition that “a request for attorney’s fees should not result in a second major litigation,” *Buckhannon Bd. and Care Home, Inc. v. West Virginia Dept. of Health and Hum. Res.*, 532 U.S. 598, 609 (2001). Accordingly, HHS requests leave to serve two interrogatories tailored to discover whether AAU, or other entities, funded this litigation. The proposed interrogatories are:

1. For all funds used to pay for fees and costs in *AAU v. HHS* that did not come from ordinary dues payments (a) identify the source of those funds, including any subset of

AAU member(s) and any non-members that provided the funds and (b) disclose the arrangement(s) or terms under which funds were provided.

2. Identify all agreements, plans, or other arrangements for AAU members or non-members to provide or to potentially provide funds to reimburse AAU for the fees and costs in *AAU v. HHS*, including the identity of the person or entity with whom AAU has the agreement, plan, or other arrangement, and the terms of the agreement, plan, or other arrangement.

HHS's interrogatories are aimed at determining who financed the litigation for which AAU now seeks recovery. It may well be AAU, but, to date, AAU has failed to provide proof.

With the aim of avoiding formal discovery and this motion, the United States, via email, asked AAU discrete questions to better understand who has financial control of the litigation.

AAU proffered that it paid the fees and costs but was unwilling to provide additional information. AAU took the position that the answers to the proposed interrogatories are irrelevant and opposes leave to serve them. But the information will help the Court to determine whether the fees sought are eligible for recovery under EAJA.

### **III. CONCLUSION**

HHS respectfully requests that the Court grant its Motion for Limited Discovery and give leave of Court for the United States to serve the two interrogatories above.

Dated: May 22, 2026

BRETT A. SHUMATE  
Assistant Attorney General, Civil Division

KIRK T. MANHARDT  
Director

MARC S. SACKS  
Deputy Director

/s/ Thomas W. Ports, Jr.  
Thomas W. Ports, Jr.  
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*Attorneys for Defendants*

**CERTIFICATE OF SERVICE**

I hereby certify that this document filed through the ECF system will be sent electronically to the registered participants as identified on the Notice of Electronic Filing (NEF).

Dated: May 22, 2026

/s/ Thomas W. Ports, Jr. \_\_\_\_\_  
Thomas W. Ports, Jr.  
Trial Attorney

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DEPARTMENT OF HEALTH AND HUMAN )  
SERVICES, *et al.*, )

Defendants. )

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**DECLARATION OF THOMAS W. PORTS, JR.**

I, Thomas W. Ports, Jr., declare as follows.

1. I am a Trial Attorney in the United States Department of Justice’s Commercial Litigation Branch.

2. The document attached hereto is copy of the Form 990 filed by the Association of American Universities (“AAU”) for the fiscal year ending September 30, 2024 that I retrieved from the Internal Revenue Services’ official website. It has not been changed or altered in any way.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on May 22, 2026, in Washington, D.C.

/s/ Thomas W. Ports, Jr.  
Thomas W. Ports, Jr.

Form **990**  
Department of the Treasury  
Internal Revenue Service

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)  
Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

**2023**  
**Open to Public Inspection**

**A For the 2023 calendar year, or tax year beginning 10-01-2023 , and ending 09-30-2024**

<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending		<b>C</b> Name of organization ASSOCIATION OF AMERICAN UNIVERSITIES INC		<b>D</b> Employer identification number 52-1947112	
Doing business as		Number and street (or P.O. box if mail is not delivered to street address) Room/suite 1200 NEW YORK AVE NW 500		<b>E</b> Telephone number (202) 408-7500	
City or town, state or province, country, and ZIP or foreign postal code WASHINGTON, DC 20005		<b>F</b> Name and address of principal officer: BARBARA R SNYDER 1200 NEW YORK AVE NW 500 WASHINGTON, DC 20005		<b>H(a)</b> Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		<b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. See instructions.		<b>H(c)</b> Group exemption number	
<b>J</b> Website: WWW.AAU.EDU		<b>L</b> Year of formation: 1995		<b>M</b> State of legal domicile: DC	
<b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other		<b>G</b> Gross receipts \$ 14,657,077			

**Part I Summary**

<b>Activities &amp; Governance</b>	<b>1</b> Briefly describe the organization's mission or most significant activities: FOUNDED IN 1900, THE ASSOCIATION OF AMERICAN UNIVERSITIES (AAU) IS COMPOSED OF 71 DISTINGUISHED RESEARCH UNIVERSITIES, 69 IN THE UNITED STATES AND TWO IN CANADA, THAT CONTINUALLY ADVANCE SOCIETY THROUGH EDUCATION, RESEARCH, AND DISCOVERY. OUR U.S. MEMBER UNIVERSITIES EARN THE MAJORITY OF COMPETITIVELY AWARDED FEDERAL FUNDING FOR ACADEMIC RESEARCH, ARE IMPROVING HUMAN LIFE AND WELLBEING THROUGH RESEARCH, AND ARE EDUCATING TOMORROW'S VISIONARY LEADERS AND GLOBAL CITIZENS. AAU MEMBERS COLLECTIVELY HELP SHAPE POLICY FOR HIGHER EDUCATION, SCIENCE, AND INNOVATION; PROMOTE BEST PRACTICES IN UNDERGRADUATE AND GRADUATE EDUCATION; AND STRENGTHEN THE CONTRIBUTIONS OF RESEARCH UNIVERSITIES TO SOCIETY.				
	<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.				
	<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	<b>3</b>	12		
	<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b>	11		
	<b>5</b> Total number of individuals employed in calendar year 2023 (Part V, line 2a)	<b>5</b>	45		
	<b>6</b> Total number of volunteers (estimate if necessary)	<b>6</b>	12		
	<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b>	0		
<b>b</b> Net unrelated business taxable income from Form 990-T, Part I, line 11	<b>7b</b>	0			
<b>Revenue</b>	<b>8</b> Contributions and grants (Part VIII, line 1h)	Prior Year	18,500	Current Year	0
	<b>9</b> Program service revenue (Part VIII, line 2g)	10,549,713	11,563,597		
	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)	371,146	516,152		
	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	662	42,274		
	<b>12</b> Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	10,940,021	12,122,023		
	<b>Expenses</b>	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1–3)	200,000	105,550	
<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)		0	0		
<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)		7,088,238	7,184,467		
<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)		0	0		
<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) 0					
<b>17</b> Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)		3,464,228	3,399,196		
<b>18</b> Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	10,752,466	10,689,213			
<b>19</b> Revenue less expenses. Subtract line 18 from line 12	187,555	1,432,810			
<b>Net Assets or Fund Balances</b>	<b>20</b> Total assets (Part X, line 16)	Beginning of Current Year	22,120,340	End of Year	26,503,246
	<b>21</b> Total liabilities (Part X, line 26)		15,236,403		17,230,983
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20		6,883,937		9,272,263

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	*****	2025-08-05			
	Signature of officer BARBARA R SNYDER PRESIDENT Type or print name and title	Date			
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date 2025-08-05	Check <input type="checkbox"/> if self-employed	PTIN P00639053
	Firm's name CBIZ ADVISORS LLC			Firm's EIN 88-1478669	
	Firm's address 1 RESEARCH COURT SUITE 400 ROCKVILLE, MD 20850			Phone no. (301) 691-3600	

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

FOUNDED IN 1900, AAU IS COMPOSED OF 71 DISTINGUISHED INSTITUTIONS IN THE UNITED STATES AND CANADA THAT CONTINUALLY ADVANCE SOCIETY THROUGH EDUCATION, RESEARCH, AND DISCOVERY. OUR U.S. MEMBER UNIVERSITIES EARN THE MAJORITY OF COMPETITIVELY AWARDED FEDERAL FUNDING FOR ACADEMIC RESEARCH, ARE IMPROVING HUMAN LIFE AND WELLBEING THROUGH RESEARCH, AND ARE EDUCATING TOMORROW'S VISIONARY LEADERS AND GLOBAL CITIZENS. AAU MEMBERS COLLECTIVELY HELP SHAPE POLICY FOR HIGHER EDUCATION, SCIENCE, AND INNOVATION; PROMOTE BEST PRACTICES IN UNDERGRADUATE AND GRADUATE EDUCATION; AND STRENGTHEN THE CONTRIBUTIONS OF RESEARCH UNIVERSITIES TO SOCIETY.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 7,019,024 including grants of \$ ) (Revenue \$ 11,099,150 )  
Description: See Additional Data

4b (Code: ) (Expenses \$ 451,065 including grants of \$ 105,550 ) (Revenue \$ )  
Description: See Additional Data

4c (Code: ) (Expenses \$ 836,078 including grants of \$ ) (Revenue \$ 464,447 )  
Description: See Additional Data

4d Other program services (Describe in Schedule O.)  
(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 8,306,167

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	Yes	
<b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors? See instructions.		No
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		No
<b>4 Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	Yes	
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Rev. Proc. 98-19? If "Yes," complete Schedule C, Part III		No
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		No
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		No
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		No
<b>9</b> Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		No
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V		No
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X, as applicable.		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	Yes	
<b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	Yes	
<b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		No
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	Yes	
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	Yes	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	Yes	
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	Yes	
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional		No
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		No
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States?		No
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV		No
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV		No
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV		No
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I. See instructions.		No
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II		No
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		No
<b>20a</b> Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		No
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	Yes	

		Yes	No
<b>22</b>	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III . . . . .	22	No
<b>23</b>	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J . . . . .	23	Yes
<b>24a</b>	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a . . . . .	24a	No
<b>b</b>	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .	24b	
<b>c</b>	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c	
<b>d</b>	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .	24d	
<b>25a</b>	<b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I . . . . .	25a	No
<b>b</b>	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I . . . . .	25b	No
<b>26</b>	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II . . . . .	26	No
<b>27</b>	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III . . . . .	27	No
<b>28</b>	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b>	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV . . . . .	28a	No
<b>b</b>	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV . . . . .	28b	Yes
<b>c</b>	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If "Yes," complete Schedule L, Part IV . . . . .	28c	No
<b>29</b>	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M . . . . .	29	No
<b>30</b>	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M . . . . .	30	No
<b>31</b>	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I . . . . .	31	No
<b>32</b>	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II . . . . .	32	No
<b>33</b>	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I . . . . .	33	No
<b>34</b>	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 . . . . .	34	No
<b>35a</b>	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	No
<b>b</b>	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 . . . . .	35b	
<b>36</b>	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 . . . . .	36	No
<b>37</b>	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI . . . . .	37	No
<b>38</b>	Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O. . . . .	38	Yes

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
<b>1a</b>	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable . . . . .	1a	36
<b>b</b>	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable . . . . .	1b	0
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . .	1c	Yes

Part V Statements Regarding Other IRS Filings and Tax Compliance				
<b>2a</b>	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . .	<b>2a</b>	45	
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	<b>2b</b>	Yes	
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year? . . . .	<b>3a</b>		No
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O . . . .	<b>3b</b>		
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . .	<b>4a</b>		No
<b>b</b>	If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . . .	<b>5a</b>		No
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b>		No
<b>c</b>	If "Yes," to line 5a or 5b, did the organization file Form 8886-T? . . . . .	<b>5c</b>		
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . . .	<b>6a</b>		No
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .	<b>6b</b>		
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>			
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . .	<b>7a</b>		No
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . .	<b>7b</b>		
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . .	<b>7c</b>		No
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year . . . . .	<b>7d</b>		
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>7e</b>		No
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . .	<b>7f</b>		No
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . .	<b>7g</b>		
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . .	<b>7h</b>		
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? . . . . .	<b>8</b>		
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>			
<b>a</b>	Did the sponsoring organization make any taxable distributions under section 4966? . . . . .	<b>9a</b>		
<b>b</b>	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . . .	<b>9b</b>		
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter:			
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12 . . . . .	<b>10a</b>		
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	<b>10b</b>		
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter:			
<b>a</b>	Gross income from members or shareholders . . . . .	<b>11a</b>		
<b>b</b>	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) . . . . .	<b>11b</b>		
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>		
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	<b>12b</b>		
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>			
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? . . . . . <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	<b>13a</b>		
<b>b</b>	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . .	<b>13b</b>		
<b>c</b>	Enter the amount of reserves on hand . . . . .	<b>13c</b>		
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year? . . . . .	<b>14a</b>		No
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . . .	<b>14b</b>		
<b>15</b>	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? . . . . . If "Yes," see the instructions and file Form 4720, Schedule N.	<b>15</b>		No
<b>16</b>	Is the organization an educational institution subject to the section 4968 excise tax on net investment income? . . . If "Yes," complete Form 4720, Schedule O.	<b>16</b>		No
<b>17</b>	<b>Section 501(c)(21) organizations.</b> Did the trust, or any disqualified or other person engage in any activities that would result in the imposition of an excise tax under section 4951, 4952, or 4953? . . . . If "Yes," complete Form 6069.	<b>17</b>		

Part VI

Governance, Management, and Disclosure. For each line, response to lines 1a through 1b below, and on a No response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI



Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a (Voting members), 1b (Independent members), 2 (Family/Business relationships), 3 (Delegation of control), 4 (Changes in governing documents), 5 (Diversion of assets), 6 (Members/stockholders), 7a (Power to elect/appoint), 7b (Governance decisions), 8 (Meeting documentation), 8a (Governing body), 8b (Committees), 9 (Officer/director/trustee/key employee).

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a (Local chapters), 10b (Written policies), 11a (Copy of Form 990), 12a (Conflict of interest policy), 12b (Disclosure of interests), 12c (Monitoring compliance), 13 (Whistleblower policy), 14 (Document retention), 15 (Compensation review), 15a (CEO/Executive Director), 15b (Other officers), 16a (Joint venture investment), 16b (Joint venture policy).

Section C. Disclosure

Table with 3 columns: Question, Yes, No. Rows include: 17 (States for Form 990), 18 (Public inspection of Form 1023/990/990-T), 19 (Schedule O disclosure), 20 (State name/address/phone number of person with books/records).

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See the instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (box 5 of Form W-2, box 6 of Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) RONALD DANIELS BOARD MEMBER	1.00	X					0	0	0	
(2) ROBERT JONES CHAIR	1.00	X					0	0	0	
(3) MAURIE MCINNIS BOARD MEMBER	1.00	X					0	0	0	
(4) MARTHA POLLACK BOARD MEMBER	1.00	X					0	0	0	
(5) VINCENT PRICE BOARD MEMBER	1.00	X					0	0	0	
(6) HOWARD GILLMAN BOARD MEMBER	1.00	X					0	0	0	
(7) DOUGLAS GIROD BOARD MEMBER	1.00	X					0	0	0	
(8) CYNTHIA LARIVE BOARD MEMBER	1.00	X					0	0	0	
(9) CHRISTOPHER EISGRUBER VICE CHAIR	1.00	X					0	0	0	
(10) CAROL CHRIST BOARD MEMBER	1.00	X					0	0	0	
(11) GREGORY FENVES BOARD MEMBER	1.00	X					0	0	0	
(12) BARBARA R SNYDER PRESIDENT	40.00	X		X			699,725	0	88,605	
(13) KENNETH GOLDSTEIN VP OF SURVEY RESEARCH & INST PLCY	40.00			X			0	0	0	
(14) WINFIELD BOERCKEL VP FOR COMMUNICATIONS	40.00			X			0	0	0	
(15) EILEEN FOX CHIEF FINANCIAL OFFICER	40.00			X			183,983	0	39,430	
(16) ROXANNE MURRAY VP OF ADMINISTRATION	40.00			X			317,664	0	59,864	
(17) KENNETH GOLDSTEIN ENDED 062024 SR VP FOR SURVEY RESEARCH & INSTITUTIONAL POLICY	40.00			X			315,894	0	59,687	

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) TOBIN SMITH VP OF SCIENCE POLY & GBL AFFAIRS	40.00			X				335,791	0	59,927
(19) PAMELA DAVIDSON ASSOCIATE VP OF FED'L RELATIONS	40.00					X		165,119	0	16,512
(20) LIZBET BOROUGHS ASSOCIATE VP FOR FEDERAL RELATIONS	40.00					X		172,236	0	36,580
(21) KATE HUDSON ASSOCIATE VP AND COUNSEL FOR POLICY & FED'L RELATI	40.00					X		190,493	0	18,949
(22) EMILY MILLER DEPUTY VP OF POLICY	40.00					X		191,545	0	48,002
(23) JULIA JESTER DEPUTY VP OF FED'L RELATIONS	40.00					X		197,904	0	48,638
<b>1b Sub-Total</b>										
<b>c Total from continuation sheets to Part VII, Section A</b>										
<b>d Total (add lines 1b and 1c)</b>								2,770,354	0	476,194

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 18

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
DYNATA 4 RESEARCH DRIVE SUITE 300 SHELTON, CT 06484	POLLING	124,839
STAGWELL MEDIA 1150 18TH STREET SUITE 800 WASHINGTON, DC 20036	MEDIA/ADVERTISING	119,980
PROMARK RESEARCH 313 SAWDUST RD SPRING, TX 77380	POLLING	109,247

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 3

Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
--	--	----------------------	--	---	--

<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns . . .	<b>1a</b>			
	<b>b</b> Membership dues . . .	<b>1b</b>			
	<b>c</b> Fundraising events . . .	<b>1c</b>			
	<b>d</b> Related organizations	<b>1d</b>			
	<b>e</b> Government grants (contributions)	<b>1e</b>			
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>			
	<b>g</b> Noncash contributions included in lines 1a - 1f:\$	<b>1g</b>			
	<b>h Total.</b> Add lines 1a-1f . . . . .				

<b>Program Service Revenue</b>			Business Code			
	<b>2a</b> MEMBERSHIP DUES		900099	11,099,150	11,099,150	
<b>b</b> MEETING INCOME		900099	370,275	370,275		
<b>c</b> CONTRACT REVENUE		900099	94,172	94,172		
<b>d</b>						
<b>e</b>						
<b>f</b> All other program service revenue.						
<b>g Total.</b> Add lines 2a-2f. . . . .			11,563,597			

<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts) . . . . .			467,801		467,801	
	<b>4</b> Income from investment of tax-exempt bond proceeds						
	<b>5</b> Royalties . . . . .						
	<b>6a</b> Gross rents	<b>6a</b>	(i) Real	(ii) Personal			
		<b>b</b> Less: rental expenses	<b>6b</b>				
		<b>c</b> Rental income or (loss)	<b>6c</b>				
		<b>d</b> Net rental income or (loss) . . . . .					
	<b>7a</b> Gross amount from sales of assets other than inventory	<b>7a</b>	(i) Securities	(ii) Other			
		<b>b</b> Less: cost or other basis and sales expenses	<b>7b</b>				
		<b>c</b> Gain or (loss)	<b>7c</b>				
		<b>d</b> Net gain or (loss) . . . . .			48,351		48,351
	<b>8a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 . . . . .	<b>8a</b>					
		<b>b</b> Less: direct expenses . . . . .	<b>8b</b>				
		<b>c</b> Net income or (loss) from fundraising events . . . . .					
	<b>9a</b> Gross income from gaming activities. See Part IV, line 19 . . . . .	<b>9a</b>					
<b>b</b> Less: direct expenses . . . . .		<b>9b</b>					
<b>c</b> Net income or (loss) from gaming activities . . . . .							
<b>10a</b> Gross sales of inventory, less returns and allowances . . . . .	<b>10a</b>						
	<b>b</b> Less: cost of goods sold . . . . .	<b>10b</b>					
	<b>c</b> Net income or (loss) from sales of inventory . . . . .						

<b>Miscellaneous Revenue</b>	<b>11a</b> OTHER INCOME	Business Code	900099	42,274		42,274
	<b>b</b>					
	<b>c</b>					
	<b>d</b> All other revenue . . . . .					
	<b>e Total.</b> Add lines 11a-11d . . . . .			42,274		
<b>12 Total revenue.</b> See instructions . . . . .			12,122,023	11,563,597	0	558,426

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 . . . . .	105,550	105,550		
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22 . . . . .				
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16. . . . .				
<b>4</b> Benefits paid to or for members . . . . .				
<b>5</b> Compensation of current officers, directors, trustees, and key employees . . . . .	2,256,246	1,807,252	448,994	
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .				
<b>7</b> Other salaries and wages . . . . .	3,433,959	2,591,255	842,704	
<b>8</b> Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions) . . . . .	360,427	272,503	87,924	
<b>9</b> Other employee benefits . . . . .	764,319	573,809	190,510	
<b>10</b> Payroll taxes . . . . .	369,516	284,995	84,521	
<b>11</b> Fees for services (non-employees):				
<b>a</b> Management . . . . .				
<b>b</b> Legal . . . . .	28,505	13,993	14,512	
<b>c</b> Accounting . . . . .	30,173		30,173	
<b>d</b> Lobbying . . . . .	277,954	277,954		
<b>e</b> Professional fundraising services. See Part IV, line 17				
<b>f</b> Investment management fees . . . . .	27,258		27,258	
<b>g</b> Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	375,982	283,641	92,341	
<b>12</b> Advertising and promotion . . . . .	96,248	96,248		
<b>13</b> Office expenses . . . . .	30,381	21,591	8,790	
<b>14</b> Information technology . . . . .	291,854	104,575	187,279	
<b>15</b> Royalties . . . . .				
<b>16</b> Occupancy . . . . .	876,015	675,640	200,375	
<b>17</b> Travel . . . . .	193,106	163,067	30,039	
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .				
<b>19</b> Conferences, conventions, and meetings . . . . .	713,215	713,215		
<b>20</b> Interest . . . . .				
<b>21</b> Payments to affiliates . . . . .				
<b>22</b> Depreciation, depletion, and amortization . . . . .	292,959	225,949	67,010	
<b>23</b> Insurance . . . . .	42,607		42,607	
<b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> MEMBERSHIP	62,267	56,451	5,816	
<b>b</b> RECRUITING	29,740	29,740		
<b>c</b> STORAGE	14,128		14,128	
<b>d</b> SUBSCRIPTIONS & PUBLICA	8,534	8,044	490	
<b>e</b> All other expenses	8,270	695	7,575	
<b>25</b> Total functional expenses. Add lines 1 through 24e	10,689,213	8,306,167	2,383,046	0
<b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Check if Schedule O contains a response or note to any line in this Part IX

		(A) Beginning of year		(B) End of year	
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	1,520,951	<b>1</b>	2,570,599	
	<b>2</b> Savings and temporary cash investments . . . . .	3,589,001	<b>2</b>	2,403,303	
	<b>3</b> Pledges and grants receivable, net . . . . .		<b>3</b>		
	<b>4</b> Accounts receivable, net . . . . .	105,424	<b>4</b>	87,705	
	<b>5</b> Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		<b>5</b>		
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B) . . . . .		<b>6</b>		
	<b>7</b> Notes and loans receivable, net . . . . .		<b>7</b>		
	<b>8</b> Inventories for sale or use . . . . .		<b>8</b>		
	<b>9</b> Prepaid expenses and deferred charges . . . . .	385,985	<b>9</b>	265,375	
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	5,190,617			
	<b>b</b> Less: accumulated depreciation	2,458,788	2,836,162	<b>10c</b>	2,731,829
	<b>11</b> Investments—publicly traded securities . . . . .	1,487,967	<b>11</b>	5,678,453	
	<b>12</b> Investments—other securities. See Part IV, line 11 . . . . .	3,400,361	<b>12</b>	4,176,798	
	<b>13</b> Investments—program-related. See Part IV, line 11 . . . . .		<b>13</b>		
	<b>14</b> Intangible assets . . . . .		<b>14</b>		
	<b>15</b> Other assets. See Part IV, line 11 . . . . .	8,794,489	<b>15</b>	8,589,184	
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 33) . . . . .	22,120,340	<b>16</b>	26,503,246		
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	499,166	<b>17</b>	388,424	
	<b>18</b> Grants payable . . . . .		<b>18</b>		
	<b>19</b> Deferred revenue . . . . .	2,834,766	<b>19</b>	5,168,605	
	<b>20</b> Tax-exempt bond liabilities . . . . .		<b>20</b>		
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D		<b>21</b>		
	<b>22</b> Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons . . . . .		<b>22</b>		
	<b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .		<b>23</b>		
	<b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .		<b>24</b>		
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D	11,902,471	<b>25</b>	11,673,954	
	<b>26 Total liabilities.</b> Add lines 17 through 25 . . . . .	15,236,403	<b>26</b>	17,230,983	
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.</b>				
	<b>27</b> Net assets without donor restrictions . . . . .	6,339,421	<b>27</b>	8,928,081	
	<b>28</b> Net assets with donor restrictions . . . . .	544,516	<b>28</b>	344,182	
	<b>Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.</b>				
	<b>29</b> Capital stock or trust principal, or current funds . . . . .		<b>29</b>		
	<b>30</b> Paid-in or capital surplus, or land, building or equipment fund . . . . .		<b>30</b>		
	<b>31</b> Retained earnings, endowment, accumulated income, or other funds		<b>31</b>		
<b>32</b> Total net assets or fund balances . . . . .	6,883,937	<b>32</b>	9,272,263		
<b>33</b> Total liabilities and net assets/fund balances . . . . .	22,120,340	<b>33</b>	26,503,246		

**Part XI Reconciliation of Net Assets**

Document 112-1

Filed 05/22/26

Page 13 of 54

Check if Schedule O contains a response or note to any line in this Part XI

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	12,122,023
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	10,689,213
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	1,432,810
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	<b>4</b>	6,883,937
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	955,516
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	0
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	<b>10</b>	9,272,263

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
<b>1</b>	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.		
<b>2a</b>	Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
<b>b</b>	Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
<b>c</b>	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
<b>3a</b>	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Uniform Guidance, 2 C.F.R. Part 200, Subpart F?		No
<b>b</b>	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

**Additional Data**

Case 1:25-cv-10346-AK

Document 112-1

Filed 05/22/26

Page 14 of 54

**Software ID:****Software Version:****EIN:** 52-1947112**Name:** ASSOCIATION OF AMERICAN UNIVERSITIES INC

Form 990 (2023)

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**Form 990, Part III, Line 4a:**

FEDERAL RELATIONS, POLICY, AND PUBLIC AFFAIRS: THE MAJORITY OF THE PROGRAM FUNDS EXPENDED BY THE ASSOCIATION OF AMERICAN UNIVERSITIES (AAU) IS CONCENTRATED IN FEDERAL GOVERNMENT RELATIONS, POLICY STUDIES, AND PUBLIC AFFAIRS & COMMUNICATIONS. AAU'S PROGRAMS AND PROJECTS ADDRESS BOTH FEDERAL AND INSTITUTIONAL POLICIES AND PRACTICES. MAINTAINING THE PRODUCTIVE PARTNERSHIP BETWEEN THE NATION'S RESEARCH UNIVERSITIES AND THE FEDERAL GOVERNMENT IS A KEY AREA OF FOCUS. THIS INCLUDES WORK ON FEDERAL AND INSTITUTIONAL POLICIES AFFECTING THE TEACHING, RESEARCH, AND SERVICE MISSIONS OF RESEARCH UNIVERSITIES, THEIR STUDENTS, FACULTY MEMBERS, AND STAFF. THIS INCLUDES FEDERAL INVESTMENTS IN STUDENT AID, HIGHER EDUCATION, COMPETITIVELY AWARDED-BASIC SCIENTIFIC RESEARCH PROGRAMS.

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**Form 990, Part III, Line 4b:**

GRANT-FUNDED PROJECTS: AAU ADVANCES PROJECTS THAT ADDRESS CRITICAL RESEARCH AND EDUCATION POLICY ISSUES IMPACTING AAU MEMBER UNIVERSITIES. WE ARE SELECTIVE IN THE GRANTS WE SOLICIT TO ENSURE THAT THE GRANT-FUNDED WORK ALIGNS WITH AAU'S GOALS AND PRIORITIES. AAU CURRENTLY HAS TWO ACTIVE GRANTS SUPPORTING OUR UNDERGRADUATE TEACHING EVALUATION PROJECT, AND CLOSED GRANTS SUPPORTING OUR PHD EDUCATION INITIATIVE AND OUR PUBLIC ACCESS TO RESEARCH DATA PROJECT. AAU STAFF CONTINUALLY EVALUATE POTENTIAL GRANT OPPORTUNITIES. UNDERGRADUATE TEACHING EVALUATION PROJECT AAU IS COMMITTED TO CREATING MORE EQUITABLE AND EFFECTIVE MEANS TO EVALUATE EDUCATIONAL CONTRIBUTIONS WITHIN FACULTY REWARDS STRUCTURES. AAU IS SUPPORTING FIVE AAU STEM DEPARTMENT DEMONSTRATION PROJECTS AND A BROADER AAU LEARNING COMMUNITY TO DEVELOP PRACTICES TO VALUE, RECOGNIZE, AND REWARD THE FULL RANGE OF INTELLECTUAL WORK THAT GOES INTO TEACHING AS PART OF FACULTY PROMOTION AND ANNUAL REVIEW STRUCTURES. IN ADDITION, THIS EFFORT WILL WORK TO INTEGRATE CONCEPTS OF JUSTICE AND EQUITY INTO THE FACULTY REWARDS SYSTEM. THE DEMONSTRATION PROJECTS ARE MADE POSSIBLE BY A GIFT FROM THE SARAH GILBERT & CARL WIEMAN CHARITABLE FUND. THE BROADER LEARNING COMMUNITY IS SUPPORTED BY FUNDING FROM THE HOWARD HUGHES MEDICAL INSTITUTE. PHD EDUCATION INITIATIVE WITH THE SUPPORT OF THE ALFRED P. SLOAN FOUNDATION, A PILOT GROUP OF EIGHT MEMBER UNIVERSITIES, REPRESENTING 32 ACADEMIC DEPARTMENTS, IMPLEMENTED REFORMS WITHIN SCIENCE, TECHNOLOGY, ENGINEERING, MATH, SOCIAL SCIENCES, ARTS, AND HUMANITIES PHD PROGRAMS TO 1) DRIVE INSTITUTIONAL CHANGE: INFLUENCE THE CULTURE AND BEHAVIOR AT THE DEPARTMENT LEVEL TO PROVIDE PHD STUDENTS WITH THE KNOWLEDGE, SKILLS, AND ABILITY TO SUCCEED IN CAREERS WITHIN AND BEYOND ACADEMIA; 2) PROMOTE DATA TRANSPARENCY: IDENTIFY INSTITUTIONAL POLICIES AND PRACTICES TO MAKE PHD PROGRAM DATA INCLUDING DATA ABOUT THE CAREER PATHWAYS AND EMPLOYMENT TRENDS OF PHD ALUMNI WIDELY AVAILABLE; AND 3) IMPLEMENT EFFECTIVE STRATEGIES: HIGHLIGHT AND ENCOURAGE EFFECTIVE UNIVERSITY, DISCIPLINARY SOCIETY, AND FEDERAL AGENCY STRATEGIES AND PROGRAMS TO SUPPORT CAREER DIVERSITY.

Case 1:25-cv-10346-AK Document 112-1 Filed 05/22/26 Page 15 of 54

**Form 990, Part III, Line 4c:**

OTHER PROGRAMS PUBLIC OPINION PROJECT THIS PROJECT AIMS TO GAIN MORE INSIGHTS INTO PUBLIC OPINION TO ASSIST OUR MEMBERS ON ISSUES CRITICAL TO THEIR RELATIONSHIP WITH THE PUBLIC AND POLICYMAKERS. MEETINGS: AAU CONDUCTS SEMI-ANNUAL MEETINGS OF THE CHIEF EXECUTIVE OFFICERS OF ITS MEMBER INSTITUTIONS AS WELL AS MULTIPLE MEETINGS OF FEDERAL RELATIONS OFFICERS AND OTHER COMMITTEES AND GROUPS, AS NECESSARY. THE ASSOCIATION CONDUCTS ANNUAL MEETINGS OF THE FOLLOWING INTERNAL GROUPS, COMPOSED OF STAFF FROM AAU'S MEMBER UNIVERSITIES: - COUNCIL ON FEDERAL RELATIONS - PUBLIC AFFAIRS NETWORK - CHIEF ACADEMIC OFFICERS - SENIOR RESEARCH OFFICERS - CHIEF DIVERSITY OFFICERS - GENERAL COUNSELS - ASSOCIATION OF GRADUATE SCHOOLS GOLDEN GOOSE AWARD AAU PLAYED A LEADERSHIP ROLE IN THE TWELFTH ANNUAL GOLDEN GOOSE AWARD PROGRAM AND CEREMONY. THE AWARD HONORS FEDERALLY FUNDED RESEARCHERS WHOSE WORK SOUNDS ODD OR OBSCURE BUT TURNED OUT TO HAVE MAJOR SOCIETAL BENEFITS. AAU BY THE NUMBERS AS PART OF THE ASSOCIATION'S EFFORTS TO DEMONSTRATE THE VALUE OF RESEARCH UNIVERSITIES TO THE NATION, AAU UPDATED AND PRODUCED PRINT AND DIGITAL INFOGRAPHICS WITH AGGREGATE STATISTICS ON THE ECONOMIC IMPACT, STUDENT FINANCIAL AID, RESEARCH INTENSITY, AND OTHER USEFUL INFORMATION ABOUT ITS MEMBER UNIVERSITIES.

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**SCHEDULE A**  
**(Form 990)**  
 Department of the Treasury  
 Internal Revenue Service

**Public Charity Status and Public Support**

**2023**  
**Open to Public Inspection**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
 Attach to Form 990 or Form 990-EZ.  
 Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

**Name of the organization**  
 ASSOCIATION OF AMERICAN UNIVERSITIES INC

**Employer identification number**  
 52-1947112

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state:
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations . . . . .
  - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

**Part II** **Supplemental Information for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(5)(vi)**  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III.  
 If the organization failed to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2019	(b) 2020	(c) 2021	(d) 2022	(e) 2023	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . . .						
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . . .						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge..						
<b>4 Total.</b> Add lines 1 through 3						
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . .						
<b>6 Public support.</b> Subtract line 5 from line 4.						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2019	(b) 2020	(c) 2021	(d) 2022	(e) 2023	(f) Total
<b>7</b> Amounts from line 4. . .						
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. . . .						
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on. . .						
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . .						
<b>11 Total support.</b> Add lines 7 through 10						

**12** Gross receipts from related activities, etc. (see instructions) . . . . . **12**

**13 First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . .

**Section C. Computation of Public Support Percentage**

**14** Public support percentage for 2023 (line 6, column (f) divided by line 11, column (f)) . . . . . **14**

**15** Public support percentage for 2022 Schedule A, Part II, line 14 . . . . . **15**

**16a 33 1/3% support test—2023.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . .

**b 33 1/3% support test—2022.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . .

**17a 10%-facts-and-circumstances test—2023.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .

**b 10%-facts-and-circumstances test—2022.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .

**18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . .

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2019	(b) 2020	(c) 2021	(d) 2022	(e) 2023	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	585,623	756,682	572,069	18,500	0	1,932,874
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	8,645,765	9,313,333	9,323,455	10,549,713	11,563,597	49,395,863
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5	9,231,388	10,070,015	9,895,524	10,568,213	11,563,597	51,328,737
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						0
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.	1,725,724	2,034,963	2,116,233	2,820,825	2,688,447	11,386,192
<b>c</b> Add lines 7a and 7b.	1,725,724	2,034,963	2,116,233	2,820,825	2,688,447	11,386,192
<b>8 Public support.</b> (Subtract line 7c from line 6.)						39,942,545

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2019	(b) 2020	(c) 2021	(d) 2022	(e) 2023	(f) Total
<b>9</b> Amounts from line 6.	9,231,388	10,070,015	9,895,524	10,568,213	11,563,597	51,328,737
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	130,205	99,880	143,835	303,606	467,801	1,145,327
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
<b>c</b> Add lines 10a and 10b.	130,205	99,880	143,835	303,606	467,801	1,145,327
<b>11</b> Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on.						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	2,500		1,500	662	42,274	46,936
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)	9,364,093	10,169,895	10,040,859	10,872,481	12,073,672	52,521,000

**14 First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here.**

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2023 (line 8, column (f) divided by line 13, column (f))	<b>15</b>	76.050 %
<b>16</b> Public support percentage from 2022 Schedule A, Part III, line 15	<b>16</b>	77.710 %

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2023 (line 10c, column (f) divided by line 13, column (f))	<b>17</b>	2.180 %
<b>18</b> Investment income percentage from 2022 Schedule A, Part III, line 17	<b>18</b>	1.700 %

**19a 33 1/3% support tests—2023.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

**b 33 1/3% support tests—2022.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**Part IV Supporting Organizations** Case: 25-cv-10346-AK Document 112-1 Filed 05/22/26 Page 20 of 54

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

		Yes	No
<b>1</b>	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
<b>2</b>	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
<b>3a</b>	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.		
<b>b</b>	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.		
<b>c</b>	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.		
<b>4a</b>	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.		
<b>b</b>	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
<b>c</b>	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
<b>5a</b>	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
<b>b</b>	<b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c</b>	<b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b>	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .		
<b>7</b>	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).		
<b>8</b>	Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? If "Yes," complete Part I of Schedule L (Form 990).		
<b>9a</b>	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .		
<b>b</b>	Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .		
<b>c</b>	Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .		
<b>10a</b>	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
<b>b</b>	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).		

		Yes	No
<b>11</b>	Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b>	A person who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, the governing body of a supported organization?		
<b>b</b>	A family member of a person described on 11a above?		
<b>c</b>	A 35% controlled entity of a person described on line 11a or 11b above? <i>If "Yes" to 11a, 11b, or 11c, provide detail in Part VI.</i>		

**Section B. Type I Supporting Organizations**

		Yes	No
<b>1</b>	Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
<b>2</b>	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

**Section C. Type II Supporting Organizations**

		Yes	No
<b>1</b>	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

**Section D. All Type III Supporting Organizations**

		Yes	No
<b>1</b>	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b>	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
<b>3</b>	By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

**Section E. Type III Functionally-Integrated Supporting Organizations**

<b>1</b>	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
<b>a</b>	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
<b>b</b>	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
<b>c</b>	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
<b>2</b>	Activities Test. <b>Answer lines 2a and 2b below.</b>		
<b>a</b>	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
<b>b</b>	Did the activities described on line 2a, above constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
<b>3</b>	Parent of Supported Organizations. <b>Answer lines 3a and 3b below.</b>		
<b>a</b>	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>If "Yes" or "No," provide details in Part VI.</i>		
<b>b</b>	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

**Part V** Type III Non-functionally-integrated 509(a)(3) Supporting Organizations Page 22 of 54

**1**  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in Part VI*). See instructions. All other Type III non-functionally-integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b>	Net short-term capital gain	<b>1</b>	
<b>2</b>	Recoveries of prior-year distributions	<b>2</b>	
<b>3</b>	Other gross income (see instructions)	<b>3</b>	
<b>4</b>	Add lines 1 through 3	<b>4</b>	
<b>5</b>	Depreciation and depletion	<b>5</b>	
<b>6</b>	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	<b>6</b>	
<b>7</b>	Other expenses (see instructions)	<b>7</b>	
<b>8</b>	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	<b>8</b>	
<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b>	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	<b>1</b>	
<b>a</b>	Average monthly value of securities	<b>1a</b>	
<b>b</b>	Average monthly cash balances	<b>1b</b>	
<b>c</b>	Fair market value of other non-exempt-use assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, 1b, and 1c)	<b>1d</b>	
<b>e</b>	<b>Discount</b> claimed for blockage or other factors ( <i>explain in detail in Part VI</i> ):		
<b>2</b>	Acquisition indebtedness applicable to non-exempt use assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	
<b>4</b>	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	<b>4</b>	
<b>5</b>	Net value of non-exempt-use assets (subtract line 4 from line 3)	<b>5</b>	
<b>6</b>	Multiply line 5 by 0.035	<b>6</b>	
<b>7</b>	Recoveries of prior-year distributions	<b>7</b>	
<b>8</b>	<b>Minimum Asset Amount</b> (add line 7 to line 6)	<b>8</b>	
<b>Section C - Distributable Amount</b>			Current Year
<b>1</b>	Adjusted net income for prior year (from Section A, line 8, Column A)	<b>1</b>	
<b>2</b>	Enter 85% of line 1	<b>2</b>	
<b>3</b>	Minimum asset amount for prior year (from Section B, line 8, Column A)	<b>3</b>	
<b>4</b>	Enter greater of line 2 or line 3	<b>4</b>	
<b>5</b>	Income tax imposed in prior year	<b>5</b>	
<b>6</b>	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	<b>6</b>	
<b>7</b>	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Section D - Distributions		Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes	1
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	3
4	Amounts paid to acquire exempt-use assets	4
5	Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	5
6	Other distributions (describe in Part VI). See instructions	6
7	<b>Total annual distributions.</b> Add lines 1 through 6.	7
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	8
9	Distributable amount for 2023 from Section C, line 6	9
10	Line 8 amount divided by Line 9 amount	10

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2023	(iii) Distributable Amount for 2023
1	Distributable amount for 2023 from Section C, line 6		
2	Underdistributions, if any, for years prior to 2023 (reasonable cause required-- explain in Part VI). See instructions.		
3	Excess distributions carryover, if any, to 2023:		
a	From 2018. . . . .		
b	From 2019. . . . .		
c	From 2020. . . . .		
d	From 2021. . . . .		
e	From 2022. . . . .		
f	<b>Total</b> of lines 3a through e		
g	Applied to underdistributions of prior years		
h	Applied to 2023 distributable amount		
i	Carryover from 2018 not applied (see instructions)		
j	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.		
4	Distributions for 2023 from Section D, line 7:		
	\$		
a	Applied to underdistributions of prior years		
b	Applied to 2023 distributable amount		
c	Remainder. Subtract lines 4a and 4b from line 4.		
5	Remaining underdistributions for years prior to 2023, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI. See instructions.		
6	Remaining underdistributions for 2023. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI. See instructions.		
7	<b>Excess distributions carryover to 2024.</b> Add lines 3j and 4c.		
8	Breakdown of line 7:		
a	Excess from 2019. . . . .		
b	Excess from 2020. . . . .		
c	Excess from 2021. . . . .		
d	Excess from 2022. . . . .		
e	Excess from 2023. . . . .		

**Part VI** **Supplemental Information** Provide the explanation required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

**Facts And Circumstances Test**

**SCHEDULE C**  
**(Form 990)**  
 Department of the Treasury  
 Internal Revenue Service

**Political Campaign and Lobbying Activities**

**2023**  
**Open to Public Inspection**

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
 ▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
 ▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

**If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**  
 • Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.  
 • Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.  
 • Section 527 organizations: Complete Part I-A only.  
**If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**  
 • Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.  
 • Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.  
**If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**  
 • Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization ASSOCIATION OF AMERICAN UNIVERSITIES INC	Employer identification number 52-1947112
--	--

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

**1** Provide a description of the organization's direct and indirect political campaign activities in Part IV. See instructions for definition of "political campaign activities."

**2** Political campaign activity expenditures. See instructions ..... ▶ \$ \_\_\_\_\_

**3** Volunteer hours for political campaign activities. See instructions ..... \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

**1** Enter the amount of any excise tax incurred by the organization under section 4955 ..... ▶ \$ \_\_\_\_\_

**2** Enter the amount of any excise tax incurred by organization managers under section 4955 ..... ▶ \$ \_\_\_\_\_

**3** If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .....  Yes  No

**4a** Was a correction made? .....  Yes  No

**b** If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

**1** Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_

**2** Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_

**3** Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b..... ▶ \$ \_\_\_\_\_

**4** Did the filing organization file **Form 1120-POL** for this year? .....  Yes  No

**5** Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check  if the filing organization checked box A and "limited control" provisions apply.

**Limits on Lobbying Expenditures**  
(The term "expenditures" means amounts paid or incurred.)

(a) Filing organization's totals	(b) Affiliated group totals
----------------------------------	-----------------------------

- 1a** Total lobbying expenditures to influence public opinion (grass roots lobbying) .....
- b** Total lobbying expenditures to influence a legislative body (direct lobbying) .....
- c** Total lobbying expenditures (add lines 1a and 1b) .....
- d** Other exempt purpose expenditures .....
- e** Total exempt purpose expenditures (add lines 1c and 1d) .....
- f** Lobbying nontaxable amount. Enter the amount from the following table in both columns.

277,954	
277,954	
10,411,259	
10,689,213	
684,461	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e.
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.
Over \$17,000,000	\$1,000,000.

- g** Grassroots nontaxable amount (enter 25% of line 1f) .....
- h** Subtract line 1g from line 1a. If zero or less, enter -0- .....
- i** Subtract line 1f from line 1c. If zero or less, enter -0- .....

171,115	
0	
0	

- j** If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?  Yes  No

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

**Lobbying Expenditures During 4-Year Averaging Period**

Calendar year (or fiscal year beginning in)	(a) 2020	(b) 2021	(c) 2022	(d) 2023	(e) Total
<b>2a</b> Lobbying nontaxable amount	656,997	645,371	691,139	684,461	2,677,968
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					4,016,952
<b>c</b> Total lobbying expenditures	118,723	168,255	98,542	277,954	663,474
<b>d</b> Grassroots nontaxable amount	164,249	161,343	172,785	171,115	669,492
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					1,004,238
<b>f</b> Grassroots lobbying expenditures					

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

		(a)		(b)
		Yes	No	Amount
<i>For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.</i>				
<b>1</b>	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b>	Volunteers? .....			
<b>b</b>	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? .....			
<b>c</b>	Media advertisements? .....			
<b>d</b>	Mailings to members, legislators, or the public? .....			
<b>e</b>	Publications, or published or broadcast statements? .....			
<b>f</b>	Grants to other organizations for lobbying purposes? .....			
<b>g</b>	Direct contact with legislators, their staffs, government officials, or a legislative body? .....			
<b>h</b>	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? .....			
<b>i</b>	Other activities? .....			
<b>j</b>	Total. Add lines 1c through 1i .....			
<b>2a</b>	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? .....			
<b>b</b>	If "Yes," enter the amount of any tax incurred under section 4912 .....			
<b>c</b>	If "Yes," enter the amount of any tax incurred by organization managers under section 4912 .....			
<b>d</b>	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? .....			

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members? .....	<b>1</b>	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less? .....	<b>2</b>	
<b>3</b> Did the organization agree to carry over lobbying and political expenditures from the prior year? .....	<b>3</b>	

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

<b>1</b> Dues, assessments and similar amounts from members .....	<b>1</b>	
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year .....	<b>2a</b>	
<b>b</b> Carryover from last year .....	<b>2b</b>	
<b>c</b> Total .....	<b>2c</b>	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	<b>3</b>	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? .....	<b>4</b>	
<b>5</b> Taxable amount of lobbying and political expenditures. See Instructions .....	<b>5</b>	

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
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**SCHEDULE D**  
(Form 990)

**Supplemental Financial Statements**

**2023**

**Open to Public Inspection**

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Department of the Treasury  
Internal Revenue Service

**Name of the organization**  
ASSOCIATION OF AMERICAN UNIVERSITIES INC

**Employer identification number**  
52-1947112

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year . . . . .		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year . . . . .		

- 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? . . . . .  Yes  No
- 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? . . . . .  Yes  No

**Part II Conservation Easements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

- 1 Purpose(s) of conservation easements held by the organization (check all that apply).  
 Preservation of land for public use (e.g., recreation or education)  Preservation of an historically important land area  
 Protection of natural habitat  Preservation of a certified historic structure  
 Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
a Total number of conservation easements . . . . .	2a
b Total acreage restricted by conservation easements . . . . .	2b
c Number of conservation easements on a certified historic structure included in (a) . . . . .	2c
d Number of conservation easements included in (c) acquired after July 25, 2006, and not on a historic structure listed in the National Register . . . . .	2d

- 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_
- 4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_
- 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? . . . . .  Yes  No
- 6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \_\_\_\_\_
- 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_
- 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? . . . . .  Yes  No
- 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
- (i) Revenue included on Form 990, Part VIII, line 1 . . . . . ▶ \$ \_\_\_\_\_
- (ii) Assets included in Form 990, Part X . . . . . ▶ \$ \_\_\_\_\_
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:
- a Revenue included on Form 990, Part VIII, line 1 . . . . . ▶ \$ \_\_\_\_\_
- b Assets included in Form 990, Part X . . . . . ▶ \$ \_\_\_\_\_

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
a Public exhibition
b Scholarly research
c Preservation for future generations
d Loan or exchange programs
e Other
4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

- c Beginning balance
d Additions during the year
e Distributions during the year
f Ending balance

Table with 2 columns: Description, Amount. Rows: 1c, 1d, 1e, 1f

- 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No
b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

Table with 6 columns: (a) Current year, (b) Prior year, (c) Two years back, (d) Three years back, (e) Four years back. Rows: 1a-1g

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment
b Permanent endowment
c Term endowment

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) Unrelated organizations
(ii) Related organizations

b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

Table with 3 columns: Question, Yes, No. Rows: 3a(i), 3a(ii), 3b

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Table with 5 columns: Description of property, (a) Cost or other basis (investment), (b) Cost or other basis (other), (c) Accumulated depreciation, (d) Book value. Rows: 1a-1e, Total

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other _____		
(A) TIAA-CREF LARGE CAP GROWTH INDEX FUND	876,611	F
(B) TIAA-CREF LARGE CAP VALUE INDEX FUND	865,186	F
(C) ISHARES CORE MSCI EAFE ETF	890,316	F
(D) BAIRD CORE PLUS BOND FUND	778,026	F
(E) VANGUARD INTEMEDIATE TERM BOND INDEX	766,659	F
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.)	4,176,798	

**Part VIII Investments - Program Related.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(9)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B) line 13.)		

**Part IX Other Assets.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) INVESTMENTS HELD FOR DEFERRED COMPENSATION	982,478
(2) RIGHT-OF-USE-ASSET	7,606,706
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B) line 15.)	8,589,184

**Part X Other Liabilities.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
DEFERRED COMPENSATION	982,478
OPERATING LEASE LIABILITIES	10,691,476
<b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B) line 25.)	11,673,954

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements . . . . .		<b>1</b>	13,050,281
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
<b>a</b>	Net unrealized gains (losses) on investments . . . . .	<b>2a</b>	955,516	
<b>b</b>	Donated services and use of facilities . . . . .	<b>2b</b>		
<b>c</b>	Recoveries of prior year grants . . . . .	<b>2c</b>		
<b>d</b>	Other (Describe in Part XIII.) . . . . .	<b>2d</b>		
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .		<b>2e</b>	955,516
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .		<b>3</b>	12,094,765
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line <b>1</b> :			
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	27,258	
<b>b</b>	Other (Describe in Part XIII.) . . . . .	<b>4b</b>		
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .		<b>4c</b>	27,258
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.) . . . . .		<b>5</b>	12,122,023

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements . . . . .		<b>1</b>	10,661,955
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
<b>a</b>	Donated services and use of facilities . . . . .	<b>2a</b>		
<b>b</b>	Prior year adjustments . . . . .	<b>2b</b>		
<b>c</b>	Other losses . . . . .	<b>2c</b>		
<b>d</b>	Other (Describe in Part XIII.) . . . . .	<b>2d</b>		
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .		<b>2e</b>	0
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .		<b>3</b>	10,661,955
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line <b>1</b> :			
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	27,258	
<b>b</b>	Other (Describe in Part XIII.) . . . . .	<b>4b</b>		
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .		<b>4c</b>	27,258
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.) . . . . .		<b>5</b>	10,689,213

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

**Part XIII** Supplemental Information *(continued)*

Return Reference	Explanation

**Additional Data**

Case 1:25-cv-10346-AK

Document 112-1

Filed 05/22/26

Page 33 of 54

**Software ID:****Software Version:****EIN:** 52-1947112**Name:** ASSOCIATION OF AMERICAN UNIVERSITIES INC**Supplemental Information**

Return Reference	Explanation
PART X, LINE 2:	THE ASSOCIATION IS EXEMPT FROM PAYMENT OF INCOME TAXES OTHER THAN THOSE ON UNRELATED BUSINESS INCOME (UBI) UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE. THE ASSOCIATION HAD NO UBI FOR THE YEARS ENDED SEPTEMBER 30, 2024 AND 2023. THE INCOME TAX POSITIONS TAKEN BY THE ASSOCIATION FOR ANY YEARS OPEN UNDER THE VARIOUS STATUTES OF LIMITATIONS ARE THAT THE ASSOCIATION CONTINUES TO BE EXEMPT FROM INCOME TAXES AND THAT IT HAS PROPERLY REPORTED UBI THAT IS SUBJECT TO INCOME TAXES. THE ASSOCIATION EVALUATED ITS UNCERTAINTY IN INCOME TAXES FOR THE YEARS SEPTEMBER 30, 2024 AND 2023, AND DETERMINED THAT THERE ARE NO MATTERS THAT WOULD REQUIRE RECOGNITION IN THE FINANCIAL STATEMENTS OR THAT MAY HAVE AN EFFECT ON ITS TAX-EXEMPT STATUS. THERE ARE CURRENTLY NO EXAMINATIONS PENDING OR IN PROGRESS.

Note: To capture the full content of this document, please select landscape mode (11 x 8.5") when printing.

**Schedule I (Form 990)**

**Grants and Other Assistance to Organizations, Governments and Individuals in the United States**

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.  
 Attach to Form 990.  
 Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2023**

Open to Public Inspection

Department of the Treasury  
 Internal Revenue Service

Name of the organization  
 ASSOCIATION OF AMERICAN UNIVERSITIES INC

Employer identification number  
 52-1947112

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) See Additional Data							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 4

3 Enter total number of other organizations listed in the line 1 table 0

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

**Part IV Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
See Additional Data	

Software ID:

Software Version:

EIN: 52-1947112

Name: ASSOCIATION OF AMERICAN UNIVERSITIES INC

**Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TRUSTEES OF DARTMOUTH COLLEGE 7 LEBANON STREET SUITE 302 HANOVER, NH 03755	02-0222111	501(C)(3)	20,000	0			DEPARTMENT LEVEL DEVELOPMENT GRANT
BOARD OF TRUSTEES OF MICHIGAN STATE UNIVERSITY 426 AUDITORIUM ROAD ROOM 2 EAST LANSING, MI 48824	38-6005984	501(C)(3)	20,000	0			DEPARTMENT LEVEL DEVELOPMENT GRANT

**Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> RC section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
THE UNIVERSITY OF KANSAS CENTER FOR RESEARCH INC 2385 IRVING HILL ROAD LAWRENCE, KS 66405	48-0680117	501(C)(3)	20,000	0			DEPARTMENT LEVEL DEVELOPMENT GRANT
UNIVERITY OF NORTH CAROLINA AT CHAPEL HILL 104 AIRPORT DR SUITE 2200 CB 1350 CHAPEL HILL, NC 27599	56-6001393	501(C)(3)	20,000	0			DEPARTMENT LEVEL DEVELOPMENT GRANT

Case 1:25-cv-10346-AK Document 112-1 Filed 05/22/26 Page 37 of 54

<b>Return Reference</b>	<b>Explanation</b>
PART I, LINE 2:	AS CONDITIONS OF THE GRANT, THE UNIVERSITY WAS REQUIRED TO SUPPORT DEPARTMENT-LEVEL DEVELOPMENT, IMPLEMENTATION, ADOPTION, AND DISSEMINATION OF MORE EFFECTIVE METHODS OF EVALUATING UNDERGRADUATE STEM TEACHING, SUBMIT A FINAL GRANT REPORT NO LATER THAN 60 DAYS AFTER THE END OF THE GRANT PERIOD INCLUDING A FINANCIAL REPORT OF THE EXPENSES INCURRED DURING THE ENTIRE GRANT PERIOD . THE GRANT IS PAID IN THREE INSTALLMENTS. THE SECOND AND THIRD INSTALLMENTS DISTRIBUTED UPON SUCCESSFUL PROGRESS REPORTS.

**Schedule J**  
(Form 990)

**Compensation information**

OMB No. 1545-0047

**2023**

**Open to Public Inspection**

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**  
 ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**  
 ▶ **Attach to Form 990.**  
 ▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

Department of the Treasury  
Internal Revenue Service

Name of the organization ASSOCIATION OF AMERICAN UNIVERSITIES INC	Employer identification number 52-1947112
--	--

**Part I Questions Regarding Compensation**

	Yes	No
<b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.		
<input checked="" type="checkbox"/> First-class or charter travel <input type="checkbox"/> Travel for companions <input checked="" type="checkbox"/> Tax idemnification and gross-up payments <input type="checkbox"/> Discretionary spending account		
<input checked="" type="checkbox"/> Housing allowance or residence for personal use <input type="checkbox"/> Payments for business use of personal residence <input type="checkbox"/> Health or social club dues or initiation fees <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
<b>b</b> If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	<b>1b</b> Yes	
<b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?	<b>2</b>	No
<b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.		
<input checked="" type="checkbox"/> Compensation committee <input type="checkbox"/> Independent compensation consultant <input checked="" type="checkbox"/> Form 990 of other organizations		
<input type="checkbox"/> Written employment contract <input checked="" type="checkbox"/> Compensation survey or study <input checked="" type="checkbox"/> Approval by the board or compensation committee		
<b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:		
<b>a</b> Receive a severance payment or change-of-control payment?	<b>4a</b>	No
<b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan?	<b>4b</b>	No
<b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement?	<b>4c</b>	No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		
<b>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b>		
<b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:		
<b>a</b> The organization?	<b>5a</b>	No
<b>b</b> Any related organization?	<b>5b</b>	No
If "Yes," on line 5a or 5b, describe in Part III.		
<b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:		
<b>a</b> The organization?	<b>6a</b>	No
<b>b</b> Any related organization?	<b>6b</b>	No
If "Yes," on line 6a or 6b, describe in Part III.		
<b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	<b>7</b> Yes	
<b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	<b>8</b> Yes	
<b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	<b>9</b>	No



**Part III Supplemental Information** [Case 1:25-cv-10346-AK](#) [Document 112-1](#) [Filed 05/22/26](#) [Page 41 of 54](#)

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 7	ALL BONUSES WERE NON-FIXED DISCRETIONARY BONUSES: BARBARA SNYDER, \$25,000 PAID 9/29/2023, TOBIN SMITH, \$25,000 PAID 7/31/2023 AND \$25,000 PAID 9/30/2024, PEDRO RIBEIRO, \$15,000 PAID 7/31/2023, ROXANNE MURRAY, \$15,000 PAID 7/31/2023 AND \$15,000 PAID 9/30/2024, KENNETH GOLDSTEIN, \$7,500 PAID 7/31/2023, EILEEN FOX \$1,000 PAID 7/31/2023 AND \$4,000 PAID 9/30/2024, KATE HUDSON, \$1,000 PAID 6/30/2023, WINFIELD BOERCKEL, \$10,000 PAID FY24, LIZBET BOROUGHS, \$2,500 FY24, KATE HUDSON, \$3,500 FY24, JULIA JESTER, \$6,000
PART I, LINE 8	AAU'S PRESIDENT, BARBARA R SNYDER, IS PAID UNDER AN INTIAL EMPLOYMENT CONTRACT THAT BEGAN ON OCTOBER 1, 2020, AND IS SCHEDULED TO TERMINATE ON SEPTEMBER 30, 2024 BUT HAS BEEN EXTENDED TO SEPTEMBER 30, 2028. THE CONTRACT PROVIDES FOR A BASE SALARY, HOUSING AND AUTOMOBILE ALLOWANCES, PAID VACATION AND SICK LEAVE, LONG TERM DISABILITY AND LIFE INSURANCE, AND CONTRIBUTIONS TO 403(B) PLANS.

**Part III Supplemental Information** [Case 1:25-cv-10346-AK](#) [Document 112-1](#) [Filed 05/22/26](#) [Page 42 of 54](#)

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 7	ALL BONUSES WERE NON-FIXED DISCRETIONARY BONUSES: BARBARA SNYDER, \$25,000 PAID 9/29/2023, TOBIN SMITH, \$25,000 PAID 7/31/2023 AND \$25,000 PAID 9/30/2024, PEDRO RIBEIRO, \$15,000 PAID 7/31/2023, ROXANNE MURRAY, \$15,000 PAID 7/31/2023 AND \$15,000 PAID 9/30/2024, KENNETH GOLDSTEIN, \$7,500 PAID 7/31/2023, EILEEN FOX \$1,000 PAID 7/31/2023 AND \$4,000 PAID 9/30/2024, KATE HUDSON, \$1,000 PAID 6/30/2023, WINFIELD BOERCKEL, \$10,000 PAID FY24, LIZBET BOROUGHS, \$2,500 FY24, KATE HUDSON, \$3,500 FY24, JULIA JESTER, \$6,000
PART I, LINE 8	AAU'S PRESIDENT, BARBARA R SNYDER, IS PAID UNDER AN INTIAL EMPLOYMENT CONTRACT THAT BEGAN ON OCTOBER 1, 2020, AND IS SCHEDULED TO TERMINATE ON SEPTEMBER 30, 2024 BUT HAS BEEN EXTENDED TO SEPTEMBER 30, 2028. THE CONTRACT PROVIDES FOR A BASE SALARY, HOUSING AND AUTOMOBILE ALLOWANCES, PAID VACATION AND SICK LEAVE, LONG TERM DISABILITY AND LIFE INSURANCE, AND CONTRIBUTIONS TO 403(B) PLANS.

**Software ID:**

**Software Version:**

**EIN:** 52-1947112

**Name:** ASSOCIATION OF AMERICAN UNIVERSITIES INC

**Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and Title		(B) Breakdown of W-2, 1099-MISC compensation, and/or 1099-NEC			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 BARBARA R SNYDER PRESIDENT	(i)	674,725	25,000	0	67,473	21,132	788,330	0
	(ii)	0	0	0	0	0	0	0
1 TOBIN SMITH VP OF SCIENCE POLY & GLBL AFFAIRS	(i)	310,791	25,000	0	31,079	28,848	395,718	0
	(ii)	0	0	0	0	0	0	0
2 ROXANNE MURRAY VP OF ADMINISTRATION	(i)	302,664	15,000	0	31,016	28,848	377,528	0
	(ii)	0	0	0	0	0	0	0
3 KENNETH GOLDSTEIN ENDED 062024 SR VP FOR SURVEY RESEARCH & INSTITUT	(i)	308,394	7,500	0	30,839	28,848	375,581	0
	(ii)	0	0	0	0	0	0	0
4 JULIA JESTER DEPUTY VP OF FED'L RELATIONS	(i)	197,904	0	0	19,790	28,848	246,542	0
	(ii)	0	0	0	0	0	0	0
5 EMILY MILLER DEPUTY VP OF POLICY	(i)	191,545	0	0	19,154	28,848	239,547	0
	(ii)	0	0	0	0	0	0	0
6 EILEEN FOX CHIEF FINANCIAL OFFICER	(i)	182,983	1,000	0	18,298	21,132	223,413	0
	(ii)	0	0	0	0	0	0	0
7 KATE HUDSON ASSOCIATE VP AND COUNSEL FOR POLICY	(i)	189,493	1,000	0	18,949	0	209,442	0
	(ii)	0	0	0	0	0	0	0
8 LIZBET BOROUGHS ASSOCIATE VP FOR FEDERAL RELATIONS	(i)	172,236	0	0	17,224	19,356	208,816	0
	(ii)	0	0	0	0	0	0	0
9 PAMELA DAVIDSON ASSOCIATE VP OF FED'L RELATIONS	(i)	165,119	0	0	16,512	0	181,631	0
	(ii)	0	0	0	0	0	0	0

**Schedule L**  
(Form 990)

Department of the Treasury  
Internal Revenue Service

**Transactions with Interested Persons**

Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.  
Attach to Form 990 or Form 990-EZ.  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

**2023**

**Open to Public Inspection**

Name of the organization ASSOCIATION OF AMERICAN UNIVERSITIES INC	Employer identification number 52-1947112
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**Part I Excess Benefit Transactions** (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).  
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. . . . . \$ \_\_\_\_\_

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization. . . . . \$ \_\_\_\_\_

**Part II Loans to and/or From Interested Persons.**  
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
<b>Total</b>						\$						

**Part III Grants or Assistance Benefiting Interested Persons.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

**Part IV Business Transactions Involving Interested Persons**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) MICHAEL SNYDER	SPOUSE OF AAU PRESIDENT	30,000	COORDINATES AND MANAGES PARTNERS' IN CONJUNCTION WHO MEET IN CONJUNCTION WITH THE PRESIDENTS/CHANCELLORS BI-ANNUALLY. HE WORKS WITH THE PROGRAM PLANNING COMMITTEE TO DEVELOP THE AGENDA, INVITES AND COORDINATES SPEAKERS. HE IS ALSO RESPONSIBLE FOR COORDINATING AND FACILITATING, WITH OTHER AAU STAFF, THE MENTOR PROGRAM FOR NEW PARTNERS. ACTS AS A SENIOR ADVISOR TO AAU'S PRESIDENT ON A WIDE RANGE OF MATTERS AND HELPS REPRESENT AAU TO A BROAD ARRAY OF EXTERNAL AND INTERNAL CONSTITUENTS, EITHER INDEPENDENTLY OR IN CONCERT WITH THE AAU PRESIDENT.		No

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference	Explanation
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**SCHEDULE O**  
(Form 990)

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or 990-EZ.

Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

**2023**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization

ASSOCIATION OF AMERICAN UNIVERSITIES INC

Employer identification number

52-1947112

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 2	MICHAEL SNYDER IS THE SPOUCE OF THE AAU PRESIDENT.

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 6	AAU'S MEMBERSHIP CONSISTS OF UNIVERSITIES OR MAJOR CAMPUSES OF MULTI-CAMPUS UNIVERSITIES. THE CRITERIA FOR ADMISSION ARE: HIGH QUALITY PROGRAMS OF ACADEMIC RESEARCH AND SCHOLARSHIP ; UNDERGRADUATE, GRADUATE, AND PROFESSIONAL EDUCATION IN A SUBSTANTIAL NUMBER OF FIELDS; A ND GENERAL RECOGNITION THAT SUCH MEMBER IS OUTSTANDING BY REASON OF THE EXCELLENCE OF ITS RESEARCH AND EDUCATION PROGRAMS. EACH MEMBER IS REPRESENTED BY ITS CHIEF EXECUTIVE OFFICER .

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION A, LINE 7A	AAU'S BOARD OF DIRECTORS CONSISTS OF A CHAIR, A VICE CHAIR, A PAST CHAIR, THE AAU PRESIDENT (NONVOTING), AND SEVEN ADDITIONAL DIRECTORS, WHO ARE ELECTED BY AAU'S MEMBERSHIP FROM AMONG THE MEMBER REPRESENTATIVES AT LARGE. THE PRESIDENT IS ELECTED BY THE BOARD, WHILE THE VICE CHAIR/CHAIR-ELECT IS ELECTED BY THE MEMBERSHIP.

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION A, LINE 7B	AAU'S BOARD DOES NOT HAVE THE POWER TO ALTER OR AMEND ANY ACTIONS OF THE MEMBERSHIP TAKEN IN A MEETING OF THE MEMBERS, NOR DOES IT HAVE THE AUTHORITY TO ELECT OR REMOVE ANY MEMBER REPRESENTATIVE, ADOPT A PLAN OF MERGER, OR AUTHORIZE THE VOLUNTARY DISSOLUTION OF THE CORPORATION. ADDITIONALLY, THE BOARD DOES NOT HAVE THE AUTHORITY TO NOMINATE OR REMOVE MEMBERS; THAT POWER IS HELD BY THE MEMBERSHIP. THE BOARD KEEPS A RECORD OF ITS PROCEEDINGS, WHICH ARE THEN REPORTED TO THE MEMBERS.

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	THE FORM 990 IS PREPARED BY AAU'S PROFESSIONAL ACCOUNTING FIRM, AND REVIEWED BY THE CHIEF FINANCIAL OFFICER. A COPY OF THE FORM 990 IS PROVIDED TO THE BOARD OF DIRECTORS BEFORE FILING AT A QUARTERLY BOARD MEETING.

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	BOARD MEMBERS, OFFICERS AND KEY EMPLOYEES ARE REQUIRED TO ANNUALLY SIGN A CONFLICT OF INTEREST STATEMENTS

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	BOARD RECOMMENDS AND APPROVES THE ANNUAL INCREASE FOR THE ORGANIZATION'S PRESIDENT BASED ON COMPARABLE SALARIES INCREASE OF OTHER SIMILAR ORGANIATIONS. OTHER OFFICERS AND KEY EMPLOYEES ARE APPROVED BY THE PRESIDENT BASED ON ANNUAL QUATT SALARY REPORT.

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	THE ORGANIZATION PROVIDES COPIES TO THE PUBLIC OF ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS UPON REQUEST FOR THE SAME PERIOD OF DISCLOSURE AS SET FORTH IN SECTION 6104(D).

Return Reference	Explanation
FORM 990, PART XII, LINE 2C:	THE PROCESS HAS NOT CHANGED FROM THE PRIOR YEAR.